



Research security update

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A black and white photograph of a basketball court floor. The floor is made of dark wood with white painted lines and numbers. The numbers '0', '1', and '2' are visible. The text 'Current and pending support' is overlaid in white.

Current and pending support

NIH Current and Pending – What to report

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- All ongoing projects/awards and proposals currently under consideration.
 - This includes proposals and awards that the individual participated with, that were not routed through UCBs research administration system
 - Internal UCB proposals / awards should be included.
 - Consulting activities that involve conducting research, regardless of whether or not remuneration is received.
 - Research conducted during the summer semester for faculty members with an Academic Year appointment, regardless of whether or not remuneration is received.
 - Participation in a foreign “talents” or similar program.
 - Financial support for laboratory personnel (including students), travel, living expenses, etc.
 - High-value materials that are not freely available (e.g., biologics, chemical, model systems, technology, etc.).
 - Start-up packages and all other research-related support from entities other than UCB, even if the research will be carried out at another institution.
 - Collaborations with other researchers outside of the applicant institution that directly benefit the individuals research endeavors.
 - In-kind contributions that are not intended for use on the project/proposal that the Other Support form is related to. Examples include office/lab space, equipment, supplies, or staff/students supported by an outside source.

NSF Current and Pending – What to report

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- All projects (including this project) currently under consideration from whatever source, and all ongoing projects, irrespective of whether support is provided through the proposing organization, another organization or directly to the individual and regardless of whether or not they have monetary value (e.g., even if the support received are in-kind contributions such as office/laboratory space, equipment, supplies, or employees)
 - In-kind contributions not intended for use on the project/proposal being proposed and have an associated time commitment
 - Current or pending participation in, or applications to, programs sponsored by foreign governments, instrumentalities, or entities, including foreign government- sponsored talent recruitment programs
 - Postdoctoral scholars, students, or visiting scholars who are supported by an external entity, whose research activities are not intended for use on the project/proposal being proposed and have an associated time commitment
 - Consulting that falls outside of an individual's appointment/agreement
 - Travel supported/paid by an external entity to perform research activities with an associated time commitment
 - Startup company based on non- organization-licensed IP
 - Startup packages from other than the proposing organization

DOD Current and Pending – What to report

- DOD requires full disclosure of current and pending support from domestic and non-domestic sources.
 - A list of all current projects the individual is working on, in addition to any future support the individual has applied to receive, regardless of the source.
 - Title and objectives of the other research projects.
 - The percentage per year to be devoted to the other projects.
 - The total amount of support the individual is receiving in connection to each of the other research projects or will receive if other proposals are awarded.
 - Name and address of the agencies and/or other parties supporting the other research projects.
 - Period of performance for the other research projects.
- DARPA issued a "[Senior/Key Personnel Foreign Influence Risk Rubric](#)" outlining the risk-based measures the agency is using to assess potential undue foreign influence, conflicts of interest or conflicts of commitment.

DOE Current and Pending – What to report

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- “...all resources made available, *or expected to be made available*, to an individual in support of the individual’s RD&D efforts...” This is regardless of:
 - Whether the source of support is domestic or non-domestic
 - Whether the resource is made available through UCB or directly to the individual
 - Whether the resource has monetary value
 - **Examples** include:
 - All proposals and ongoing projects/awards. May also include past support, if so, the requirement to include past support as well as the timeframe, will be included in the Funding Opportunity Announcement.
 - Research gifts (with or without terms and conditions)
 - Professional appointments, regardless of whether the appointment is:
 - Full-time, part-time or voluntary
 - Faculty, visiting, adjunct or honorary
 - Involvement in foreign government-sponsored talent recruitment programs
 - Consulting activities that involve conducting research
 - Research conducted during the summer semester for faculty members with an Academic Year appointment
 - “...in-kind contributions requiring a commitment of time **and** directly supporting the individual’s R&D efforts.” Examples include:
 - Provision of office or lab space
 - Access to equipment or supplies
 - Access to employee or student labor

NASA Current and Pending – What to report

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- NASA requires full disclosure of current and pending support from domestic and non-domestic sources
 - PIs and Co-PIs must provide all ongoing and pending projects and proposals (regardless of salary support) in which they are performing or will perform any part of the work. Co-Is proposing to spend 10% or more of their time to the proposed effort must provide a list of ongoing and pending projects and proposals (regardless of salary support) that require a significant share (more than 10%) of their time. Proposals do not need to include the current proposal on the list of pending proposals unless submitted to another funding opportunity (NASA or another sponsor).
 - For those investigators for whom it is required, the proposal shall provide following information for each current and pending project:
 - Title of funded project or proposal title;
 - Name of PI on award or proposal;
 - Program name (if appropriate) and sponsoring agency or organization, including a point of contact with their telephone number and email address;
 - Performance period;
 - Total amount received by that investigator (including indirect costs) or the amount per year if uniform (e.g., \$50 k/year); and
 - Time commitment by the investigator for each year of the period of performance.
 - The proposing PI must notify the NASA Program Officer identified for the FA immediately of any successful proposals that are awarded for substantially the same research as proposed to NASA, any time after the proposal due date and until the announcement of NASA's selections.
 - Current and pending support is not required for Co-Is at non-U.S. institutions.
 - Current and pending support is usually not required for students. It may be requested, depending on the specifics of the Notice of Funding Opportunity (NOFO).

Resources

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- [RAC Other Support and Foreign Influences](#)
 - **Guidance from Agencies** - Below are links to summary pages for the major federal agencies that fund research activities at UC Berkeley and their specific guidance related to Foreign Influence and Other and Current and Pending Support reporting obligations.
 - [National Institutes of Health \(NIH\)](#)
 - [National Science Foundation \(NSF\)](#)
 - [Department of Defense \(DOD\)](#)
 - [Department of Energy \(DOE\)](#)
 - [National Aeronautics and Space Administration \(NASA\)](#)