

Poll 1 - after overview

State Assembly Bill 18 (AB18) required the DGS and CSU, and encouraged UC, to negotiate a California Model Agreement. Therefore it is commonly referred to as “AB18.”

True or False **False; it is commonly referred to as “AB20”**

The 2022 IDC rate when on-campus and funding is from a federal agency to a state agency is:

- a) 25% MTDC
- b) 30% MTDC
- c) 60.5% MTDC; if UC Berkeley is a subrecipient of federal funds and the State agency is a pass-through agency, as defined in the Uniform Guidance (2 CFR 200.1) then UC Berkeley should budget and receive its federally negotiated Indirect Cost Rate for the project.**
- d) None of the above

Poll 2 - after proposals

When awarded, the submitted proposal will become the final award

True or False **True; California Model Agreement (CMA) provides model contract terms for use by State of California agencies that fund research, training or service activities performed by campuses of the University of California (UC) and California State University (CSU) systems. The purpose of the CMA is to reduce the administrative resources used by both State and University offices in establishing the thousands of contracts and grants for important public work performed by and for California.**

Exhibit A includes the following documents:

- a) Scope of Work, Contacts, Biosketch, Budget
- b) Scope of Work, Deliverables, Budget Justification
- c) Scope of Work, Deliverables, Contacts, 3rd Party Confidentiality Information; Budget information should be kept in Exhibit B and Alternate Terms and Conditions (if applicable) in Exhibit G.**
- d) Scope of Work, Deliverables, Contacts, Alternate Terms and Conditions

A Graduate Student Researcher is never considered Key Personnel for CA State proposal?

True or False **True; we don't normally suggest including a Graduate Student Researcher as Key Personnel for CA State Proposal because they could leave. Changes to key personnel on Exhibit A2 will require written State agency approval, therefore only list Key Personnel sparingly.**

All Key Personnel listed in Exhibit A2- Key Personnel need to include Biosketch, Current and Pending, and Citizenship in the proposal?

True or False **False; UC Berkeley, in accordance with University policy, cannot provide citizenship information under sponsored research proposals or projects. Individuals may provide this information directly if requested, but UC employees are not required to answer.**

Poll 3 - after awards

If the State of California issues a Request for Proposal (RFP), UC may submit the proposal in a different format from the CMA?

True or False **True; a state agency may require use of specific format for submitting proposals. The University may use the State's desired forms. However, if selected for an award, the proposal submitted in the State's requested format must be converted to the CMA template at the time of final award. Unless, special approval has been granted to for awards received not in the CMA template.**

If a proposal requires a proposal revision to be submitted to the CA State Agency, SPO requires a new Phoebe record?

True or False or It Depends? **It depends; if the scope of work has changed or if adding funds or time not originally proposed.**

Who needs to review State of California terms and conditions listed in Exhibit G?

- a) SPO
- b) **SPO, PI, CGA, and BRS; Terms and Conditions in Exhibit G would need to be reviewed and/or negotiated by SPO (sometimes with concurrence by UCOP); however, Exhibit G can impact all key stakeholders and should be reviewed by all teams involved.**
- c) PI Only
- d) All CSU and UC campuses

When working with the Business Contracts and Brand Protection Office (BCBP), State of California proposals should not use the CMA format?

True or False **False; AB20 also governs campus business contracts with the State and should use the CMA.**

Poll 4 - at the end (power questions)

State awards are subject to federal salary caps.

True or False? **False: State awards are not subject to federal salary caps but certain state agencies may have their own salary caps. For example, CEC currently considers the salary rates used during the proposal stage as salary caps.**

A detailed budget in Excel format is required when submitting proposals to the State of California

True or False? False; the Excel workbook is for internal use only, Exhibit B is the composite budget that should be used as it will be incorporated into the final agreement.

The State may revert funds prior to an award end date under certain award terms.

True or False? True: We have seen CARB refuse payment due to fund reversion deadlines that were earlier than the award end date. Departments may need to accrue expenses for invoicing.

A Principal Investigator's Intellectual Property (IP) concerns should be handled in which section(s) of the proposal:

- a) Deliverables
- b) Deliverables and Pre-Existing Data; Generally, Exhibit A1 and Exhibit A4 are the designated sections in the CMA to carve out intellectual property concerns
- c) Scope of Work, Deliverables and Pre-Existing Data
- d) None - handled by SPO CGO