CURRENT AND PENDING SUPPORT UPDATES

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UPDATED: PI CERTIFICATION IN PHOEBE

- When the PI and Co-PI sign off on a proposal in Phoebe, they are certifying to the following statement **for all covered personnel** named in the proposal:

  I certify to the accuracy and completeness of all Other/Current and Pending Support documents contained in this proposal and that the support described from both domestic and international sources is in accordance with the application guidelines or the sponsor's instructions.

UPDATE: NIH PRINCIPAL INVESTIGATOR ASSURANCE CERTIFICATION FORM

- **NIH Principal Investigator Assurance Certification Form** has been required by NIH since 2006 for the purpose of documenting the PI signature as part of the institutional review/approval process for proposals, progress reports, prior approvals

- The form Is no longer required at the proposal stage because certification language is included within Phoebe.

- Updated version must now be submitted at these stages:
  - JIT
  - Non-SNAP RPPRs processed through SPO
  - When submitting prior approval requests through SPO

UPDATE: NIH PRINCIPAL INVESTIGATOR ASSURANCE CERTIFICATION FORM

- By signing the PI is now making the following new certifications:

- (1) The information submitted with this transaction is true, complete and accurate to the best of my knowledge including the "Other Support" listed by the Project Director (PD)/Principal Investigator (PI) and all named senior Key Personnel devoting measurable effort the project (see https://rac.berkeley.edu/foreign_influence/nih.html);

- (2) I agree to disclose to NIH any substantive changes to "Other Support" previously disclosed by PDs/PIs and other senior Key Personnel when submitting future annual/final RPPRs;

- (3) I agree to alert SPO if I discover that the PD/PI or any senior Key Personnel have failed to disclose "Other Support" information at the proposal/JIT, and/or RPPR stages;

SAME OLD STUFF: FOLLOW SPONSOR GUIDELINES FOR CURRENT AND PENDING SUPPORT

- Read the RFA/RFP/Guidelines carefully. Things are "evolving."

- Review the information on the SPO website under "International Connections and Foreign Influences." (https://rac.berkeley.edu/foreign_influence.html)

- Encourage key personnel to read the section on "Compliance Basics" for reporting outside professional activities, COIs, and export controlled information, technologies and commodities

- Check out the sidebar for:

  - Links to the requirements of major federal funding agencies

  - The comparison chart on agency requirements for C&P

FINAL NIH REMINDER

- As of January 25, 2022 all senior key personnel will need to provide supplementary documentation for all foreign activities and resources under "Other Support" in Just-in-Time (JIT) Reports, and Research Performance Progress Reports (RPPRs).

- Supplementary documentation Includes copies (translated into English) of contracts, grants or any other agreement specific to foreign appointments and/or employment with a foreign institution held by PDs/PIs and other senior key personnel.

- Senior key personnel also will be required to electronically sign their respective Other Support form as a PDF prior to submission verifying that the information is true and accurate.

FOR MORE INFORMATION: NIH FAQS

- https://grants.nih.gov/faqs#/other-support-and-foreign-components.htm