

# What does SPO NEED?!?!?

- IRB/IACUC
  - Proposal Stage
  - Award Stage
- Conflict of Interest Requirements
  - Non-governmental
  - National Science Foundation (NSF)/Non-PHS
  - Public Health Services (PHS)

# IRB and IACUC requirements

- Proposal
  - List proposal as pending in the sponsor application
  - Add a pending Special Review item in Phoebe for Human Subjects and/or Vertebrate Animals
- Award
  - Approval letter from OPHS and/or ACUC listing the SPO Award Number under “Funding”  
OR
  - Funding is listed in an approved protocol in eProtocol in the “SPO-Funding” section.

# IRB and IACUC approvals

Approval Letter:

## UNIVERSITY OF CALIFORNIA, BERKELEY

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BERKELEY • DAVIS • IRVINE • LOS ANGELES • RIVERSIDE • SAN DIEGO • SAN FRANCISCO

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SANTA BARBARA • SANTA CRUZ

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BERKELEY, CA 94704-5940

(510) 642-8855  
Web Site: <http://acuc.berkeley.edu>  
PHS Assurance: A3084-01  
USDA Registration: 93-R-0432

### Approval Letter

August 14, 2019

Principal Investigator: [REDACTED]

Department: [REDACTED]

Protocol #: [REDACTED]

Protocol Title: [REDACTED]

Approval date: [REDACTED]

Expiration date: [REDACTED]

Funding: SPO ID# [REDACTED]

, SPO ID# [REDACTED]

, SPO ID# [REDACTED]

## IRB and IACUC approvals

eProtocol:

### SPO - Funding

	SPO ID	Sponsor	Sponsor Award ID	Project Title
<input type="checkbox"/>	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
<input type="checkbox"/>	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
<input type="checkbox"/>	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]
<input type="checkbox"/>	[REDACTED]	NIH National Institutes of Health - Miscellaneous		[REDACTED]
<input type="checkbox"/>	[REDACTED]	[REDACTED]	[REDACTED]	[REDACTED]

### Funding - Other

	Funding Type	Sponsor/Provider	#	Title	Amount	Begin	End	Narrative Description	Lead PI (If different from Protocol PI)
								Funding will	

# COI Requirements

# Non-governmental sponsors

- Proposal
  - 700U uploaded into Phoebe under Attachments & Notes Tab, under Person Attachments Panel
  - Original ink signature on 700U mailed to SPO.\*
  - 700U addendum if positive disclosure on 700U (may be electronic)\*
  - 700U Form cannot be expired.\*
- Award
  - Approval letter from COI Committee for positive disclosures.

# NSF/Non-PHS sponsors

- Proposal
  - Respond to Questions Tab in Phoebe under Non-PHS Financial Interest Screening Panel
  - For positive disclosures – upload disclosure form to Attachments & Notes Tab, under Person Attachments Panel.
- Award
  - Approval letter from COI Committee for positive disclosures.

# PHS Sponsors

- Proposal
  - Respond to Questions Tab in Phoebe under PHS Financial Interest Screening Panel
- Just In Time (JIT)/Award if no JIT
  - For disclosures over a year old – complete PHS Financial Interest Screening Form
  - For positive disclosures – email to COI.\*
- Award
  - Approval letter from COI Committee for positive disclosures.
- Continuations (at RPPR)
  - Email PHS Financial Interest Screening Form to SPO.
  - Positive disclosures – also email Financial Disclosure Form to SPO.



