What does SPO NEED?!?!?

• IRB/IACUC
  • Proposal Stage
  • Award Stage

• Conflict of Interest Requirements
  • Non-governmental
  • National Science Foundation (NSF)/Non-PHS
  • Public Health Services (PHS)
IRB and IACUC requirements

• Proposal
  • List proposal as pending in the sponsor application
  • Add a pending Special Review item in Phoebe for Human Subjects and/or Vertebrate Animals

• Award
  • Approval letter from OPHS and/or ACUC listing the SPO Award Number under “Funding”
    OR
  • Funding is listed in an approved protocol in eProtocol in the “SPO-Funding” section.
IRB and IACUC approvals

Approval Letter:

UNIVERSITY OF CALIFORNIA, BERKELEY

ANIMAL CARE AND USE COMMITTEE
OFFICE FOR ANIMAL CARE AND USE
2150 SHATTUCK AVENUE, SUITE 300
BERKELEY, CA 94704-5940

(510) 642-8855
Web Site: ttp://acuc.berkeley.edu
PHS Assurance: A3084-01
USDA Registration: 93-R-0432

August 14, 2019
Principal Investigator: [Redacted]
Department: [Redacted]
Protocol #: [Redacted]
Protocol Title: [Redacted]
Approval date: [Redacted]
Expiration date: [Redacted]
Funding: SPO ID# [Redacted], [Redacted], [Redacted]
IRB and IACUC approvals

eProtocol:

### SPO - Funding

<table>
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<tr>
<th>SPO ID</th>
<th>Sponsor</th>
<th>Sponsor Award ID</th>
<th>Project Title</th>
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<td>NIH National Institutes of Health - Miscellaneous</td>
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### Funding - Other

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<th># Title</th>
<th>Amount</th>
<th>Begin</th>
<th>End</th>
<th>Narrative Description</th>
<th>Lead PI (if different from Protocol PI)</th>
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COI Requirements
Non-governmental sponsors

• Proposal
  • 700U uploaded into Phoebe under Attachments & Notes Tab, under Person Attachments Panel
  • Original ink signature on 700U mailed to SPO.*
  • 700U addendum if positive disclosure on 700U (may be electronic)*
  • 700U Form cannot be expired.*

• Award
  • Approval letter from COI Committee for positive disclosures.
NSF/Non-PHS sponsors

- **Proposal**
  - Respond to Questions Tab in Phoebe under Non-PHS Financial Interest Screening Panel
  - For positive disclosures – upload disclosure form to Attachments & Notes Tab, under Person Attachments Panel.

- **Award**
  - Approval letter from COI Committee for positive disclosures.
PHS Sponsors

• Proposal
  • Respond to Questions Tab in Phoebe under PHS Financial Interest Screening Panel

• Just In Time (JIT)/Award if no JIT
  • For disclosures over a year old – complete PHS Financial Interest Screening Form
  • For positive disclosures – email to COI.*

• Award
  • Approval letter from COI Committee for positive disclosures.

• Continuations (at RPPR)
  • Email PHS Financial Interest Screening Form to SPO.
  • Positive disclosures – also email Financial Disclosure Form to SPO.