

Process Tips: NSF's Implementation of the Common Forms

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RAC Forum

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NSF PAPPG (24-1) updates

- ❑ The National Science Foundation Proposal & Award Policies & Procedures Guide (PAPPG) (NSF 24-1) went into effect for proposals submitted or due on or after May 20, 2024.
- ❑ A summary of changes to the PAPPG (NSF 24-1) is available here: <https://new.nsf.gov/policies/pappg/24-1/summary-changes>
- ❑ Particularly noteworthy are the changes related to the National Science and Technology Council's (NSTC) **Common Forms**, housed on the [NSF Website](#).
- ❑ In response to National Security Presidential Memorandum (NSPM-33) the National Science and Technology Council (NSTC) Research Security Subcommittee has worked to develop consistent disclosure requirements for use by senior personnel, as well as to develop proposed common disclosure forms for the Biographical Sketch and Current and Pending (Other) Support sections of an application for Federal research and development (R&D) grants or cooperative agreements.

Common Forms

COMMON DISCLOSURE FORMS FOR THE BIOGRAPHICAL SKETCH AND CURRENT AND PENDING (OTHER) SUPPORT

- **New!** [Common Form for Biographical Sketch](#)
 - **New!** [Common Form for Current and Pending \(Other\) Support Information](#)
 - **New!** [Definitions](#)
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- ❑ As noted in SPO's announcement dated 12/4/23, there are now common disclosure forms for the Biosketch and Current and Pending Support.
 - ❑ **Both forms will require senior/key personnel to sign and certify that they are not participants in any malign foreign talent recruitment programs.**

Common Forms

- ❑ Use of these forms is required via **SciENCv**.
- ❑ The certification language on malign foreign talent recruitment programs is included within SciENCv. SciENCv records an individual's certification when they download either their biosketch or current and pending support from SciENCv.
- ❑ **The certification of NSF Biosketches and/or Current and Pending Support CANNOT be delegated to administrators.**
- ❑ **Research.gov will error-check to ensure SciENCv versions of the forms have been uploaded.**

Common Forms

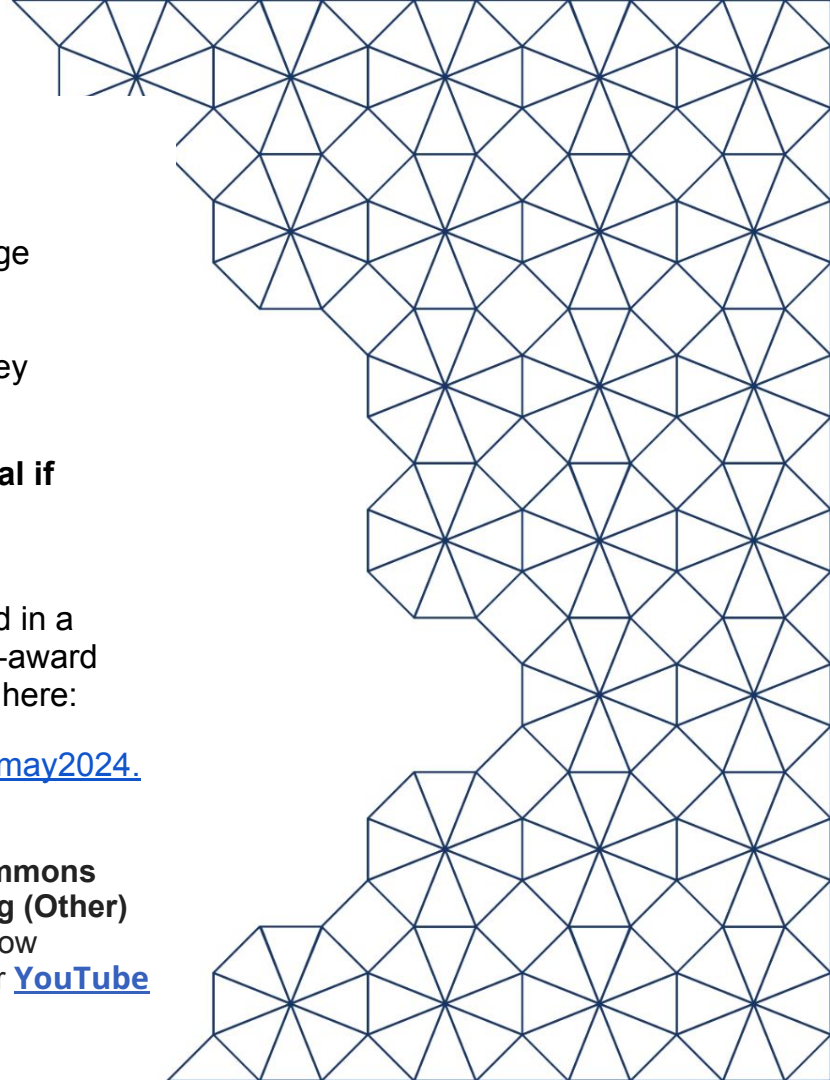
- ❑ The Biosketch section has been revised to remove the 3-page limitation
- ❑ The Synergistic Activities section must now be submitted by individuals separately from Biosketch as part of the senior/key personnel documents in Research.gov

PLEASE NOTE: SPO will not be able to submit an NSF proposal if biosketches or current and pending are missing certification signatures.

- ❑ To aide in determining what information needs to be included in a biosketch or current and pending, please see the NSTC Pre-award and Post-award Disclosures matrix dated 5/20/24, available here:

https://www.nsf.gov/bfa/dias/policy/disclosures_table/may2024.pdf

- ❑ The NSF Policy Office held the webinar **Implementing the Commons Forms for the Biographical Sketch and Current and Pending (Other) Support** on April 25, 2024. The webinar was recorded and is now available on-demand in the NSF [Resource Center](#) and on their [YouTube](#) page.



Pre and Post award certifications

Pre-award Disclosures (equivalent to NIH JIT phase):

- ❑ NSF will now require all senior/key personnel on potential awards to submit updated Current and Pending (Other) Support information prior to making a funding recommendation.

Post-award Disclosures:

- ❑ If an organization discovers that a PI or co-PI on an active NSF award failed to disclose current support or in-kind contribution information [76] as part of the proposal submission process (see [PAPPG Chapter II.D.2.h\(ii\)](#)), the AOR must submit the information specified in the applicable general term and condition article entitled, “Post-award Disclosure of Current Support and In-kind Contribution Information” within 30 calendar days of the identification of the undisclosed current support or in-kind contribution through use of the Notification and Request Module in Research.gov.

Post award certifications

Annual Post-award Disclosures:

- ❑ **Update of Current Support in Annual and Final Project Reports:**
- ❑ PIs and co-PIs on active NSF awards must indicate if there has been a change in active other support since submission of the proposal, or the last reporting period in their annual and final project report. If there has been a change, the individual must submit a revised current and pending support document prepared in **SciENCv** as part of their project report.

Post award certifications

Annual Post-award Disclosures:

- ❑ **NOTE that the proposal certification references an annual re-certification requirement:**

(ii) Malign Foreign Talent Recruitment Programs

Pursuant to Section 10632 (42 U.S.C. § 19232), each individual identified as senior/key person must certify prior to proposal submission that they are not a party to a malign foreign talent recruitment program **and annually thereafter for the duration of the award.**

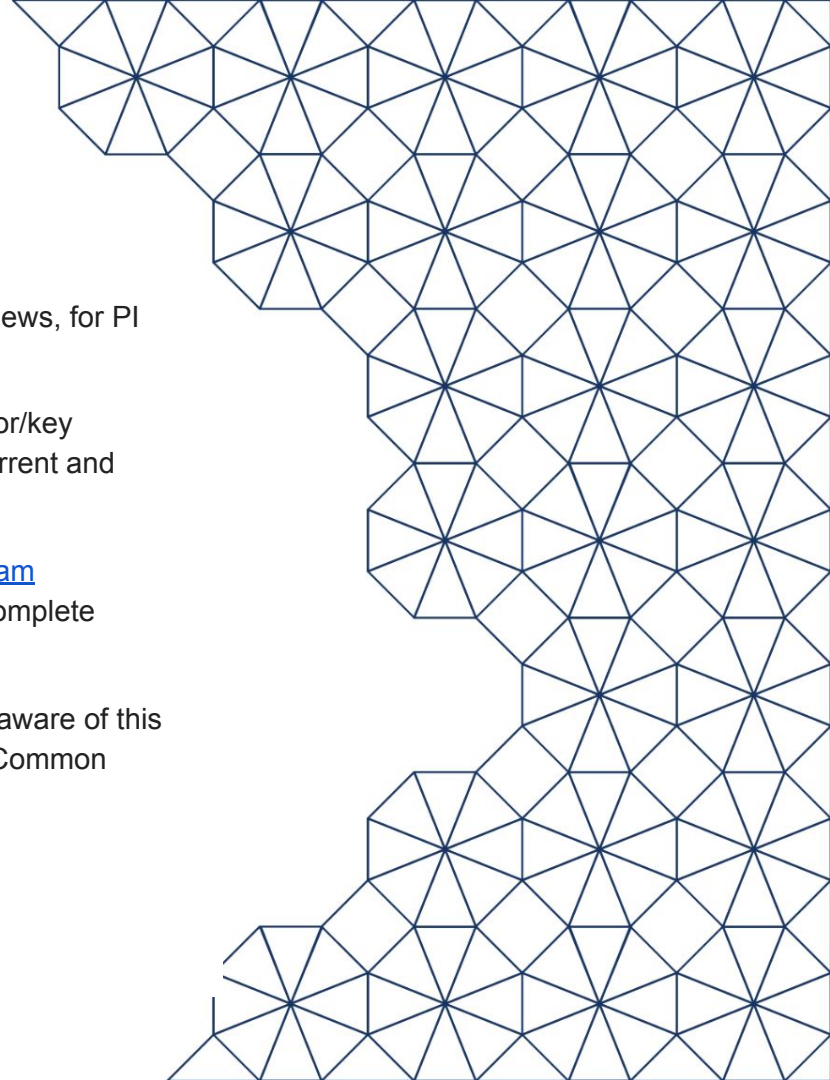
Additional Considerations

We are considering adding the following language to our proposal reviews, for PI acknowledgment:

Prior to proposal submission, all individuals identified as senior/key personnel must certify the following in their Biosketch and Current and Pending Support Common Forms created in SciENCv:

- They are not a party to a [malign foreign talent recruitment program](#)
- They certify the information provided is accurate, current, and complete

The PI is responsible for ensuring that all senior/key personnel are made aware of this requirement and they provide the information to the sponsor via certified Common Forms.



Questions/Discussion

