MTAs are Moving to Phoebe!

Kate Lewis
Eric Giegerich
IPIRA - Industry Alliances Office
UC Berkeley

Wednesday, May 20, 2015

For RAC Forum
What is the Industry Alliances Office?

**Industry Alliances Office (IAO)**
- Handles industry sponsored research agreements
- Incoming Material Transfer Agreements from all sponsors
- Research related Nondisclosure Agreements (NDA)
- SBIR/STTR (Federal grants to small businesses)
- Membership agreements (Industry Affiliate Programs, such as BSAC)

At a future RAC Forum, we are planning to provide an in-depth overview of IAO
What is a Material Transfer Agreement or MTA?

- It’s an agreement covering the *transfer* of “materials” from one organization to another.

- MTAs can be as **challenging** to negotiate as sponsored research agreements.

- Similar **Problematic Clauses** as sponsored research (like those discussed in a previous RAC Forum).
What is a Material Transfer Agreement or MTA?

• MTAs often bring in materials that will be used with other sponsored research projects
  – Conflicting obligations
  – Additional terms and requirements
  – Intellectual property provisions

• PIs need to be aware of the terms of both their research agreements and MTAs
Three Types of MTAs

Materials          Software          Data
Incoming vs. Outgoing MTAs

• IPIRA handles all MTAs for campus
  – IAO- negotiates and manages all incoming MTAs

  – OTL- negotiates and manages all outgoing MTAs

  – IAO can always help to triage and advise if the PI or RAs have any questions

• Only incoming MTAs are moving to Phoebe
Old MTA Process

- Paper form, usually completed by the lab manager, PI, or graduate student
- MTAs were entered into Coeus manually
- Migration from Coeus to Kuali Coeus
New MTA Process

- Phoebe has been rolled out on campus
- MTA module in Phoebe already exists as a proposal type, in the process of building it out
- Standardize processes and systems for pre-award research administration

![Proposal Form](image)
New MTA Process

• You will be able to enter MTA requests in Phoebe, just like you enter new research proposals

• New set of questions that are specific to the types of materials
Please select the type of material.

Select

Will you be creating any modifications out of the material (e.g., Will you create any substance that contains or incorporates the material; will you crossbreed material with your own materials?)

- Yes
- No

Is the material subject to export control restrictions?

- Yes
- No

What is the funding source for the research using the material? List all funding sources.

[Blank field]
<table>
<thead>
<tr>
<th>MTA Questions (Incomplete)</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Please select the type of material.</td>
<td></td>
</tr>
<tr>
<td>Research Materials</td>
<td></td>
</tr>
</tbody>
</table>

**NOTE:** If yes to any of these four questions, please include ACUC information and the Animal Use Protocol (AUP) number in the special review tab.

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>The material was made in live animals.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>The material is custom antibodies made from animals.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>The material will be used in vertebrate animals.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>The material is a live vertebrate animal.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Please select the type of material.

Software

Is the Software commercially available?
- Yes  
- No

Is the Software an in-kind contribution to a research project?
- Yes  
- No
<table>
<thead>
<tr>
<th>Question</th>
<th>Options</th>
</tr>
</thead>
<tbody>
<tr>
<td>Please select the type of material.</td>
<td>Data</td>
</tr>
<tr>
<td>Is personally identifiable data included? If yes, please include CPHS</td>
<td>Yes, No</td>
</tr>
<tr>
<td>information in the Special Review tab. If you have the CPHS approval</td>
<td></td>
</tr>
<tr>
<td>letter please upload it on the Attachments panel.</td>
<td></td>
</tr>
<tr>
<td>Will you create any derivatives of the data?</td>
<td>Yes, No</td>
</tr>
<tr>
<td>Is an information security plan required?</td>
<td>Yes, No</td>
</tr>
</tbody>
</table>
How will it work?

Phoebe Process for MTAs

• Create new record in Phoebe
• Select “Material Transfer” as type
• Add “Proposal” tab information
• Add the Material Requestor (Lab Manager, Grad Student, or PI) as Aggregator
  – Aggregator will be responsible for answering the questions
• In some cases, the RA will complete the MTA Phoebe record, much like they help with MTAs now
How will it work?

Major differences from research proposals

• Aggregators (lab managers, grad students) will complete the rest of the tabs, specifically the Questions and Special Review tabs

• No internal routing requirements

• PI Approval only (no Chair approval)
What does this mean for the RA?

• Creating a **new MTA record** in Phoebe represents a new role for RAs

• IAO and RAC are looking into ways to streamline certain types of MTAs

• IAO, RAC, and CSS are developing **training materials** and **guidance**
Benefits of MTAs in Phoebe

- RAs will be aware of all PI activity because all records will be in Phoebe from the beginning
- Easy tracking and reporting from one system
- Opportunity for increased communications, transparency, and metrics between and among CSS, PIs, and IAO
- RAs will be aware of the existence of MTAs and will be included in communication about PI obligations
- Coeus will be going away sooner than later; need a new solution

Welcome to 21st Century!
Current state of this project

• IAO is currently working with CSS and RAC:
  – Roles and responsibilities
  – FAQs
  – Instructions

• Asking for Faculty and CSS RA Input

• Interested in helping us pilot this? Email Kate!
  kate_lewis@berkeley.edu
Timeline of Rollout

• Pilot in the mid-summer

• Hoping to roll out to all departments in early fall

• Like most new processes and systems, there will be a transition period where both formats are acceptable
  – We will communicate updates and the timeline for rollout at future RAC Forums
  – We always welcome the opportunity to gather feedback from you as we move toward full implementation!
Timeline of Rollout

• For now, advise your PIs and departments to continue using the MTA Request Form (paper)

• Look for updates this summer!
Questions?

Contact
IPIRA / Industry Alliances Office
University of California, Berkeley

Kate Lewis
510-643-5997
kate_lewis@berkeley.edu

Eric Giegerich
510-642-5850
egiegerich@berkeley.edu